

This brochure supplement provides information about Athanasios K. Preovolos that supplements the Alpha & Omega Financial Management Consultants brochure. You should have received a copy of that brochure. Please contact Athanasios K. Preovolos if you did not receive Alpha & Omega Financial Management Consultants's brochure or if you have any questions about the contents of this supplement.

Additional information about Athanasios K. Preovolos is also available on the SEC's website at www.adviserinfo.sec.gov.

Alpha & Omega Financial Management Consultants

Form ADV Part 2B – Individual Disclosure Brochure

for

Athanasios K. Preovolos

Personal CRD Number: 5042711

Investment Adviser Representative

Alpha & Omega Financial Management Consultants
8580 LA MESA BLVD STE 100
LA MESA, CA 91942
(619) 462-7812
thanasi@thelawcorp.com

UPDATED: 09/28/2022

Item 2: Educational Background and Business Experience

Name: Athanasios K. Preovolos

Born: 1970

Educational Background and Professional Designations:

Education:

BS Finance, University of Southern California - 1992

Business Background:

09/2022 - Present

Investment Adviser Representative
Alpha & Omega Financial Management Consultants

01/1993 - Present

Attorney
Preovolos Lewin, ALC

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Athanasios K. Preovolos is a lawyer at Preovolos Lewin, ALC. From time to time, he will offer clients advice or products from this activity. Alpha & Omega Financial Management Consultants always acts in the best interest of the client. Clients are in no way required to utilize the services of any representative of Alpha & Omega Financial Management Consultants in their capacity as a lawyer.

Item 5: Additional Compensation

Athanasios K. Preovolos does not receive any economic benefit from any person, company, or organization, other than Alpha & Omega Financial Management Consultants in exchange for

providing clients advisory services through Alpha & Omega Financial Management Consultants.

Item 6: Supervision

As the Chief Compliance Officer of Alpha & Omega Financial Management Consultants, Athanasios K. Preovolos supervises all activities of the firm. Athanasios K. Preovolos's contact information is on the cover page of this disclosure document. Athanasios K. Preovolos adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

- A. Athanasios K. Preovolos has not been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
 2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Athanasios K. Preovolos has been the subject of a Chapter 7 bankruptcy petition on 01/16/2013. This bankruptcy has since been discharged as of 04/18/2013.